IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Makoto SAWADA

Title: POLYPEPTIDES HAVING BRAIN-LOCALIZING

ACTIVITY AND USES THEREOF

Appl. No.: 10/567,328

International 8/6/2004

Filing Date:

371(e) Date: 04/13/07

Examiner: Kimberly BALLARD

Art Unit: 1649

Conf. No.: 9146

<u>INFORMATION DISCLOSURE STATEMENT</u> <u>UNDER 37 C.F.R. § 1.56</u>

Mail Stop RCE Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Madam/Sir:

Submitted herewith on Form PTO/SB/08 is a listing of a document known to the Applicant in order to comply with Applicant's duty of disclosure pursuant to 37 C.F.R. § 1.56.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 C.F.R. § 1.56(b). The Applicant does not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a *prima facie* art reference against the claims of the present application.

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TIMING OF THE DISCLOSURE

The listed document is being submitted in compliance with 37 C.F.R. § 1.97(b), before the mailing of a first Office action after the filing of a Request for Continued Examination under § 1.114.

RELEVANCE OF EACH DOCUMENT

The document is in English.

The Applicant respectfully requests that each listed document be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO/SB/08 be returned in accordance with MPEP § 609.

Although the Applicant believes that no fee is required, the Commissioner is hereby authorized to charge any additional fees which may be due to Deposit Account No. 19-0741.

Respectfully submitted,

Stephen B. Maebius

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AUG 1 0 2010

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